

Electronic Acknowledgement Receipt

EFS ID:	1349483
Application Number:	09917120
International Application Number:	
Confirmation Number:	2233
Title of Invention:	Methods and systems for assisting financial services firms and their representatives
First Named Inventor/Applicant Name:	Scott Fergusson
Customer Number:	28075
Filer:	Brian N. Tufte/Lynn Thompson
Filer Authorized By:	Brian N. Tufte
Attorney Docket Number:	1137.1101101
Receipt Date:	04-DEC-2006
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Application Type:	Utility

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Payment was successfully received in RAM	\$250
RAM confirmation Number	1410
Deposit Account	500413
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Appeal Brief Filed	11371101101AppealBrieffile d12-4-06.pdf	276866	no	38

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	8187	no	2
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Warnings:

Information:

Total Files Size (in bytes):	285053
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.